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PTO/SB/21 (07-06)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/588,567 ✓	
	Filing Date	August 4, 2006	
	First Named Inventor	Rebecca Fitzgerald	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	30699/42218

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Copy of International Preliminary Report on Patentability and Written Opinion of the International Searching Authority Form PTO/SB/08 postcard
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	MARSHALL, GERSTEIN & BORUN LLP		
Signature	<i>[Handwritten Signature]</i>		
Printed name	Nabeela R. McMillian		
Date	October 4, 2006	Reg. No.	43,363

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature: *[Handwritten Signature]* (Nabeela R. McMillian)



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Dated: October 4, 2006

Signature: *Nabeela R. McMillian*

(Nabeela R. McMillian)

Docket No.: 30699/42218  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Rebecca Fitzgerald et al.

Application No.: 10/588,567

Confirmation No.: Not

Filed: August 4, 2006

Art Unit: 0

For: Medicaments for Treating Barrett's  
Oesophagus

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant(s) have become aware of the following documents, cited in a Written Opinion of the International Searching Authority issued August 22, 2006, during the prosecution of International Application No. PCT/GB2005/000594, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the Written Opinion and of each document required under 37 CFR 1.98(a)(2) cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se*

as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

This Information Disclosure Statement is filed within three months of the date of entry of the national stage of an international application as set forth in 37 CFR 1.491 (37 CFR 1.97(b)(2)).

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30699/42218. A duplicate copy of this paper is enclosed.

Dated: October 4, 2006

Respectfully submitted,

By   
Nabeela R. McMillian

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/588,567	
			Filing Date	August 4, 2006	
			First Named Inventor	Rebecca Fitzgerald	
			Art Unit	Not Yet Assigned	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	30699/42218

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO-98/08546		Chambon et al.		
		WO-91/11172	08-08-1991	Stella et al.		
		WO-02/098398		Barth et al.		
		WO-98/55148	12-10-1998	Vadecruys et al.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T <sup>2</sup>
		Kubota et al., Exp. Cell Res., 263:163-172 (2001)	
		Muller et al., Cancer Lett., 113(1-2):95-101 (1997) (ABSTRACT ONLY)	
		Niederreither et al., Nat. Genet., 31:84-88 (2002) (ABSTRACT ONLY)	
		Sampliner et al., "A Phase II Trial of 13-CIS Retinoic Acid (Isotretinoin) in Barrett's Esophagus", Gastroenterology, 94(4):A396 (1988) (ABSTRACT ONLY)	
		Garewal et al., "Effect of Potential Differentiating Agents on the Growth of Barrett's Esophagus-Derived Epithelial Cell Cultures", Clinical Research, 36(1):131A (1988) (ABSTRACT ONLY)	
		Lord et al., Surgery, 129:267-276 (2001)	
		Garewal et al., J. Natl. Cancer Inst. Monogr., 13:51-54 (1992)	
		Tsai et al., "Retinoic Acid Receptor Expression in Barrett's Esophagus and Barrett's Associated Adenocarcinomas", Proc. Am. Assoc. Cancer Res. Annual Meeting, 40:309 (1999) (ABSTRACT ONLY)	
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		Jetten et al., Laboratory Investigation, 56(6):654-664 (1987)	
		Shindoh et al., Obstet. Gynecol., 85:721-728 (1995)	
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Examiner Signature		Date Considered	



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				Attorney Docket Number	30699/42218
Sheet	2	of	2		

	White et al., Proc. Natl. Acad. Sci. USA, 97(12):6403-6408 (2000)	
	Wang et al., Arch. Biochem. Biophys., 401:235-243 (2002)	
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	Schapira et al., Proc. Natl. Acad. Sci. USA, 97(3):1008-1013 (2000)	

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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Attorney Docket No.: 30699/42218

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Nabeela R. McMillian

Typed or printed name of person signing Certificate

43,363

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IDS (Citation) by Applicant (30 References) (2 pages);  
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